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Date: 5-30-01

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

J1033 U.S. PTO  
09/870759  
05/30/01

Transmitted herewith for filing is the patent application of

Inventor: David S. Terman

For: COMPOSITIONS AND METHODS FOR TREATMENT OF NEOPLASTIC DISEASE

Enclosed are:

- ☒ Specification and Claim(s).
- ☒ Oath or Declaration.
- ☒ 3 sheet(s) of drawings.
- ☒ Copy of priority application(s).
- ☒ Verified Statement claiming small entity status is enclosed.
- ☒ A check in the amount of \$345 to cover the filing fee is enclosed.
- ☒ Applicant may be reached at: (831) 624-8569.

All correspondence should be directed to below listed address.

**DECLARATION FOR PATENT APPLICATION**  
**English Language Declaration**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled, **COMPOSITIONS AND METHODS FOR TREATING NEOPLASTIC DISEASES** the specification of which

(check one)

- ☒ is attached hereto.
- ☐ was filed on \_\_\_\_\_ as Serial No.  
and was amended on \_\_\_\_\_ (if applicable)

☐ was described and claimed in PCT International Application No. \_\_\_\_\_,  
filed on \_\_\_\_\_ and as amended under PCT Article 19 on  
\_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification,  
including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37,  
Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign  
application(s) for patent or inventor's certificate listed below and have also identified below any foreign  
application for patent or inventor's certificate having a filing date before that of the application on which  
priority is claimed:

Prior Foreign Application(s) Priority Claimed

|                   |                    |                                 |                                 |                                |
|-------------------|--------------------|---------------------------------|---------------------------------|--------------------------------|
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/><br>Yes | <input type="checkbox"/><br>No |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/><br>Yes | <input type="checkbox"/><br>No |
| _____<br>(Number) | _____<br>(Country) | _____<br>(Day/Month/Year Filed) | <input type="checkbox"/><br>Yes | <input type="checkbox"/><br>No |

**PROVISIONAL APPLICATION NUMBER**

**FILING DATE**

60/208,128

May 31, 2000

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s)  
listed below and insofar as the subject matter of each of the claims of this application is not disclosed in the  
prior United States application in the manner provided by the first paragraph of Title 35, United States  
Code §112, I acknowledge the duty to disclose material to patentability as defined in Title 37, Code of  
Federal Regulations, §1.56 which became available between the filing date of the prior application and the  
national or PCT international filing date of this application:

|                                   |                        |                                |
|-----------------------------------|------------------------|--------------------------------|
| _____<br>(Application Serial No.) | _____<br>(Filing Date) | _____<br>(Status)              |
|                                   |                        | (patented, pending, abandoned) |

I hereby declare that all statements made herein of my own knowledge are true and that all statements  
made on information and belief are believed to be true, and further that these statements were made with  
the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or  
both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may  
jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor

|   |                 |
|---|-----------------|
| David S. Terman   |                 |
| Inventor's signature<br><i>David S. Terman</i>                | Date<br>5-30-01 |
| Residence<br>Pebble Beach, CA 93953                           |                 |
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| Full name of second joint inventor                            |                 |
| Second Inventor's signature                                   | Date            |
| Residence:  |                 |
| Citizenship   |                 |
| Post Office Address   |                 |
| Full name of sole or third inventor                           |                 |
| Third Inventor's signature                                    | Date            |
| Residence:  |                 |
| Citizenship   |                 |
| Post Office Address   |                 |

(Supply similar information and signature for subsequent joint inventors.)